

Regulatory Compliance

Investigative research to determine whether subjects of our research are compliant with several globally-applicable regulatory compliance regimes as well as local ones. Some regimes we cover include:

- **Foreign Corrupt Practices Act:** Concerns accounting transparency requirements as well as bribery of foreign officials by U.S.-exposed companies and individuals. A major standard in global anti-corruption enforcement.
- **United Kingdom Bribery Act:** Seen as the United Kingdom’s alternative to the FCPA, penalises the bribery of foreign officials as well as the failure to prevent such bribery. Affects individuals and entities with links (including tenuous links) to the United Kingdom.
- **Bank Secrecy Act/Anti-Money Laundering :** 1970’s era U.S legislation aimed preventing money laundering and ensuring compliance of internal financial structures and procedures with this act.
- **EU Public Procurement Directives:** Various directives concerning the issue of public procurements within the European Union with several components dealing rules and guidelines for transparency and competitiveness.
- **OECD Anti-Bribery Convention:** Ratified by 43 countries as at May 2017. This convention aims at reducing corporate crime and political corruption in developing countries by issuing sanctions to their counterparts in developed countries for benefiting from such activity.
- **Sanctions Lists:** Various sanctions lists exist, regulatory compliance along these lines of these lists, means screening individuals and entities in case they appear on any of these lists or are tied to individuals or entities that do. Listing on such lists may be due to involvement in terrorism, drug trafficking, economic crimes, other crimes, non-compliance with legislation or procedures in certain regimes, barring due to political exposure or ties to certain governments as well as a range of other reasons.

South Africa

Angola
Botswana
Lesotho
Malawi
Mozambique
Namibia
Swaziland
Zambia
Zimbabwe

Kenya

Ethiopia
South Sudan
Tanzania
Uganda
Rwanda
Burundi
Central African Republic
DRC
Republic of Congo
Equatorial Guinea
Gabon
Cameroon

Nigeria

Ghana
Cote d’Ivoire
Benin
Togo
Burkina Faso
Mali
Guinea
Senegal
Gambia
Sierra Leone
Liberia