

## Regulatory Compliance

*Investigative research to determine whether subjects of our research are compliant with several globally-applicable regulatory compliance regimes as well as local ones. Some regimes we cover include:*

- **Foreign Corrupt Practices Act:** Concerns accounting transparency requirements as well as bribery of foreign officials by U.S.-exposed companies and individuals. A major standard in global anti-corruption enforcement.
- **United Kingdom Bribery Act:** Seen as the United Kingdom’s alternative to the FCPA, penalises the bribery of foreign officials as well as the failure to prevent such bribery. Affects individuals and entities with links (including tenuous links) to the United Kingdom.
- **Bank Secrecy Act/Anti-Money Laundering :** 1970’s era U.S legislation aimed preventing money laundering and ensuring compliance of internal financial structures and procedures with this act.
- **EU Public Procurement Directives:** Various directives concerning the issue of public procurements within the European Union with several components dealing rules and guidelines for transparency and competitiveness.
- **OECD Anti-Bribery Convention:** Ratified by 43 countries as at May 2017. This convention aims at reducing corporate crime and political corruption in developing countries by issuing sanctions to their counterparts in developed countries for benefiting from such activity.
- **Sanctions Lists:** Various sanctions lists exist, regulatory compliance along these lines of these lists, means screening individuals and entities in case they appear on any of these lists or are tied to individuals or entities that do. Listing on such lists may be due to involvement in terrorism, drug trafficking, economic crimes, other crimes, non-compliance with legislation or procedures in certain regimes, barring due to political exposure or ties to certain governments as well as a range of other reasons.

### South Africa

Angola  
Botswana  
Lesotho  
Malawi  
Mozambique  
Namibia  
Swaziland  
Zambia  
Zimbabwe

### Kenya

Ethiopia  
South Sudan  
Tanzania  
Uganda  
Rwanda  
Burundi  
Central African Republic  
DRC  
Republic of Congo  
Equatorial Guinea  
Gabon  
Cameroon

### Nigeria

Ghana  
Cote d’Ivoire  
Benin  
Togo  
Burkina Faso  
Mali  
Guinea  
Senegal  
Gambia  
Sierra Leone  
Liberia